

Well Link Securities Limited, a company incorporated in Hong Kong, licensed to carry on regulated activities Type 1 (Dealing in Securities) and Type 2 (Dealing in Futures Contracts) under the Securities and Futures Ordinance (CE No.: AMB404) with its registered office at Room 13-15, 11/F, China Merchants Tower, Shun Tak Centre, 168-200 Connaught Road Central, Hong Kong ("WLSL" or the "Company"). The Company is an Exchange Participant of the Hong Kong Stock Exchange, a Direct Clearing Participant of the Hong Kong Securities Clearing Company Limited, and a Trading Rights Holder in the Hong Kong Futures Exchange.

FOR INTERNAL USE

Client Account Number (CAN):		Date (Day/Month/Year):
<b>List of Account Opening Documents (For Individual Trading Account)</b>		
1. For Hong Kong or Macau resident, whom is a holder of Hong Kong or Macau Identity Card		
Hong Kong OR Macau ID Card / Visa Identity Document		<input type="checkbox"/>
Proof of Address (e.g. Utilities bill or phone bill or bank loan / credit card statement in any of the past 3 months showing proof of address)		<input type="checkbox"/>
Proof of Bank Account (e.g. Bank statement, bank debit card with name of account holder and account number printed on it)		<input type="checkbox"/>
2. For Mainland China resident, whom is a holder of the People's Republic of China (PRC) Identity Card		
Second-generation PRC ID card		<input type="checkbox"/>
Chinese Passport or Travel Permit to Hong Kong OR Macau		<input type="checkbox"/>
Proof of stay permit issued by the Hong Kong OR Macau Immigration Department ("Entry Permit") (if not entered into Hong Kong or Macau, no need to provide)		<input type="checkbox"/>
Proof of Address (e.g. Utilities bill or phone bill or bank loan / credit card statement in any of the past 3 months showing proof of address) (if the address printed on the PRC ID card is the current residential address of the applicant, it is not necessary to provide)		<input type="checkbox"/>
Proof of Bank Account (e.g. bank statement, bank debit card with name of account holder and account number printed on it)		<input type="checkbox"/>
3. For Individuals holding documents from Other Countries / Regions		
Passport and related identification documents		<input type="checkbox"/>
Proof of address (e.g. Utilities bill or phone bill or bank loan/credit card statement in any of the past 3 months showing proof of address)		<input type="checkbox"/>
Visa issued by the Hong Kong or Macau Immigration Department		<input type="checkbox"/>
Proof of Bank Account (e.g. bank statement, bank debit card with name of account holder and account number printed on it)		<input type="checkbox"/>
<b>Other Forms</b>		
W-8BEN Personal Tax Declaration Form (applicable to US Stock trading)		<input type="checkbox"/>
RISK PROFILE QUESTIONNAIRE (applicable to Mutual Fund and Bond trading)		<input type="checkbox"/>
Discretionary Account Agreement/ Appointment of Authorized Person (applicable to Discretionary Account ONLY)		<input type="checkbox"/>
<b>Basic Account Information</b>		<b>Account opening reviewer</b>
Client Name (Chinese):	Client Name (English):	Handled by:
Client Source:	Account Opening Channel:	Checked by:
Client Type:	Appointment Reference No.:	Reviewed by:
AE Code:	Digital Identity Certificate number (if any):	Approved by:
<b>Commission and Fees</b>		
Market Type:	Please refer to the updated fee schedule from time to time:	
Hong Kong Securities	Securities Service Charges Table (HK Stock) Exchange)	
China Connect Shanghai & Shenzhen A-Shares	Securities Service Charges Table (SSE/SZSE China Connect) (Shanghai/Shenzhen Hong Kong)	
US Securities	Securities Service Charges Table (US Stock)	
Bonds	Bond and Unit Trust Service Charges Table	
Mutual Fund	Bond and Unit Trust Service Charges Table	
Futures	Futures Service Charges Table (Hong Kong Futures)	
Global Futures	Futures Service Charges Table (Global Futures)	
<b>Customer Agreement:</b>		
Account Terms and Conditions:	Client Securities Account Agreement Risk Disclosure Statement	
[NOTE] For clients on special arrangement, their applicable trade commission rates and service charges are subjected to special deals or prior agreements. All rates and charges are subjected to change and at the Company's sole discretion without prior notice. The above list is not exhaustive, for further inquiries, please contact our Customer Service Hotline on +852 3150 7728 ( Hong Kong ) +86 755 8206 0899 (Mainland China) +853 8796 5888 (Macau).		
[Remark]		

Download URL:  
www.wlsec.com

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Client(s) by signing this Securities/Futures/Options trading account opening application form (hereafter referred to as "Account Application Form" or "Account Opening Form") indicates that before using any part of WLSL's services, the Client(s) has read, understood and accepted to enter into the Client Securities Account Agreement provided by the Company physically or electronically (hereafter referred to as "Securities Account Agreement" or "Client Agreement") and consent to be bounded by the Client Agreement's latest provisions thereof, including its General Terms and Conditions and all relevant Schedule(s) as the same may be amended from time to time.

FOR INTERNAL USE									
ACN									Date
PART 1. CLIENT PERSONAL INFORMATION AND FINANCIAL OVERVIEW									
Name in Chinese				Name in English				Alias (if any)	
Gender	<input type="checkbox"/> Mr.	<input type="checkbox"/> Ms / Mrs / Miss		Date of birth (day/month/year)				Nationality	
Personal Declaration	<p>I hereby declare and confirm that:</p> <p>(a) All funds which support the investment activities and related settlements are from lawful sources outside of the China Mainland;</p> <p>(b) I do not have an account that was previously closed or suspended by any licensed corporations or banks due to the use of questionable or forged documents;</p> <p>(c) I undertake that I will notify Well Link Securities Limited within 7 business days in the event of any changes in the information in the investor's written declaration; and</p> <p>(d) I understand that upon requests from law enforcement agencies or regulatory authorities, the Well Link Securities Limited may disclose my personal and other relevant information. <b>NOTE</b>] If you do not understand, are unsure about, or do not agree with any of the above statements, please do not check the option.</p> <p><input type="checkbox"/> <b>I have read, understood, and agree that each of the above statements is true and accurate, and I agree to comply with the terms and conditions.</b></p>								
Place and Country of Birth	<input type="checkbox"/> Macau, China <input type="checkbox"/> Hong Kong, China <input type="checkbox"/> China, Provinces/City _____ <input type="checkbox"/> Other Country _____ City _____								
Type of Identification Document	<input type="checkbox"/> Macau Resident ID Card <input type="checkbox"/> Hong Kong Resident ID Card / Document of Identity <input type="checkbox"/> The People's Republic of China Resident ID Card <input type="checkbox"/> ID of Other Countries / Regions (please specify) _____ [NOTE] Non-Permanent Resident please provide your National ID Card								
ID Card / Other identification document number				Expiry Date (day/month/year)				Place of Issue	
Mobile phone (Area Code) ( )				Email				WeChat ID	
Residence	<input type="checkbox"/> Renting property <input type="checkbox"/> Self-owned property <input type="checkbox"/> Mortgage property <input type="checkbox"/> Company Dormitory <input type="checkbox"/> Living with family <input type="checkbox"/> Others (please specify) _____								
Residential Address (Please provide proof of address. PO Box NOT accepted)									
Mailing Address (If different from the above address. Proof of address required)									
Mode of Correspondence (Including account statement delivery)				<input type="checkbox"/> Email	<input type="checkbox"/> *Residential address			<input type="checkbox"/> *Mailing address	
<p>*Monthly Administration Fee will be charged if you choose to receive transaction confirmation notices or account statements through your residential or mailing address, except by email.            *WLSL will not be responsible for any delay in transmitting or receiving information caused by communication facility malfunctions or transmission failures, or any other reasons beyond our control or prediction.</p>									
Marital Status	<input type="checkbox"/> Single <input type="checkbox"/> Married <input type="checkbox"/> Divorce			<input type="checkbox"/> Widowed			<input type="checkbox"/> Primary School or Below <input type="checkbox"/> Secondary School <input type="checkbox"/> Diploma <input type="checkbox"/> Univer Above		
Employment Status	<input type="checkbox"/> Merchant / Self-employed <input type="checkbox"/> Employed <input type="checkbox"/> Retired <input type="checkbox"/> Housewife <input type="checkbox"/> Students <input type="checkbox"/> Unemployment <input type="checkbox"/> Others (please specify) _____								
Name of Employer / Self-employed Organisation				Industry					
Job Position / Level				Year(s) of Employment				Office Phone Number ( )	
Address of Employer / Self-employed Organisation									
Annual Income (in HKD)	<input type="checkbox"/> Below HKD200,000 <input type="checkbox"/> HKD200,000 - HKD500,000 <input type="checkbox"/> HKD500,001 - HKD1,000,000 <input type="checkbox"/> HKD \$1,000,001 or Above								
Initial Source of Fund	<input type="checkbox"/> Salary <input type="checkbox"/> Business Revenue <input type="checkbox"/> Commission <input type="checkbox"/> Savings <input type="checkbox"/> Rent <input type="checkbox"/> Pension <input type="checkbox"/> Investment Returns <input type="checkbox"/> Sales proceeds from properties / other assets <input type="checkbox"/> Others (please specify) _____								
Subsequent Source of Fund	<input type="checkbox"/> Same as the Initial Source of Fund <input type="checkbox"/> Others (please specify) _____								
Estimated Personal Net Asset Value (Total Assets - Total Liabilities)	<input type="checkbox"/> Below HKD1,000,000 <input type="checkbox"/> HKD1,000,000 - HKD5,000,000 <input type="checkbox"/> HKD5,000,001 - HKD10,000,000 <input type="checkbox"/> HKD \$10,000,001 or Above								
Source of Fund Region (You may select more than one)	<input type="checkbox"/> Macau, China <input type="checkbox"/> Hong Kong, China <input type="checkbox"/> Mainland China <input type="checkbox"/> Others, (please specify) _____								
PART 2. ACCOUNT TYPE (hereafter referred to as the "Account")									
Categories	SECURITIES (Select either Cash or Margin ONLY)			FUTURES			MUTUAL FUND & BONDS (Select either or both)		
Account Type	<input type="checkbox"/> Cash Trading			<input type="checkbox"/> Margin Trading			<input type="checkbox"/> HK/Global Futures Trading <input type="checkbox"/> General <input type="checkbox"/> Wealth Management (HK Index Futures Inclusive)		
Trading Market	<input type="checkbox"/> Hong Kong Stocks (Mandatory) <input type="checkbox"/> China Connect Shanghai and Shenzhen A-Shares **No applicable to China Mainland Investor** <input type="checkbox"/> US Stocks <input type="checkbox"/> US Stock Options								
Order Channel	<input type="checkbox"/> Online Trading (Must provide a valid email address and subject to verification)								
PART 3. CLIENT DESIGNATED SETTLEMENT BANK ACCOUNT									
<p>Unless otherwise instructed in writing by you, you hereby instruct and authorizes WLSL to deposit all payments due to you into the designated settlement bank account listed below. WLSL will not be held legally responsible for any losses, expenses, or compensation incurred by you due to any delay in depositing funds for any reason. <b>**Notice to Mainland Investors**</b> For individuals holding a Chinese Mainland Resident Identity Card or Passport, your settlement bank account must be a licensed bank account in Hong Kong.</p>									
Bank Region	Currency	Name of Receiving Bank			Account Number			Account Holder Name (Under You Name)	
<input type="checkbox"/> Bank in Hong Kong	<input type="checkbox"/> Bank in Macau	<input type="checkbox"/> HKD							
<input type="checkbox"/> Country/Region of Bank	<input type="checkbox"/> RMB								
Bank code	Branch Code	<input type="checkbox"/> USD							

NOTE: 1. Please use capital letter and tick the appropriate checkbox with a "✓" symbol. 2. Each joint account holder must fill out an Individual Account Application Form. 3. Any changes or deletions must be signed by all relevant parties.

PART 4. CLIENT INVESTMENT OBJECTIVE AND PROFILE					
Investment Objective	<input type="checkbox"/> Short term speculation	<input type="checkbox"/> Medium to long-term capital growth	<input type="checkbox"/> Hedge	<input type="checkbox"/> Dividend income	<input type="checkbox"/> Other, please specify _____
Investment Experience by Product	Less than 1 year	1 to 5 years	6 to 10 years	Over 10 years	
Securities	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Callable Bull/Bear Contracts (CBBC)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Derivative Warrants	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Exchange-Traded Funds (ETFs)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Futures	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Options	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Foreign Exchange	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Commodities or Precious Metals	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Bonds and Mutual Funds	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Virtual Assets or related products	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Other (please specify:)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
<b>Assessment of Client's Product Knowledge:</b>			General Derivative Products	Virtual Assets and Related Products	
1. By undergoing training or attending courses that provides general knowledge of the nature and risks of the relevant product.			<input type="checkbox"/>	<input type="checkbox"/>	
2. From my/our prior work experience related to the relevant product.			<input type="checkbox"/>	<input type="checkbox"/>	
3. I/We have executed five or more transactions in the relevant product within the past three years.			<input type="checkbox"/>	<input type="checkbox"/>	
OR					
I/We do not have any of the above experiences, but I/We have carefully read, agreed to, and understood all the Risk Disclosure Statements provided by WLSL regarding the relevant product(s). I/We understand that I/We must have sufficient knowledge before commencing any trade activities on such product(s). When I/We decide to trade such product(s) through WLSL, it means that I am/We are aware of and accept the risks associated with trading such product(s) and assume all associated risks and responsibilities.			<input type="checkbox"/>	<input type="checkbox"/>	
PART 5. DISCLOSURE					
Are you a registered/licensed person with the Securities and Futures Commission of Hong Kong (SFC), or an employee, director, or authorized person of an employer currently registered/licensed with the SFC? (If so, please provide the name of the registered or licensed employer and the consent form for you to open an account at WLSL.)					
<input type="checkbox"/> No <input type="checkbox"/> Yes, registered or licensed employer name _____ CE Number _____					
Are you related to the directors or employees of WLSL or its affiliated companies (collectively referred to as "WLSL")? (For example, spouse or family relationships)					
<input type="checkbox"/> No <input type="checkbox"/> Yes, employee name _____ Relationship _____					
Have you held any high-level public positions, such as politicians or senior government officials, military officials, senior executives of state-owned enterprises, or are you a family member or close contacts of such person?					
<input type="checkbox"/> No <input type="checkbox"/> Yes, please specify _____					
Do you have any connections with senior personnel / directors / major shareholders of any listed companies of any Exchange anywhere?					
<input type="checkbox"/> No <input type="checkbox"/> Yes, company name _____ Stock Code _____					
PART 6. RELATED MARGIN					
Does your spouse hold a securities margin account with WLSL?					
<input type="checkbox"/> No <input type="checkbox"/> Yes, Name of Spouse _____ Account Number _____					
Are you or your spouse the beneficial owner or guarantor of other securities margin accounts with WLSL?					
<input type="checkbox"/> No					
<input type="checkbox"/> Yes, Name of the Securities Margin Account Holder _____ Account number 1. _____ 2. _____ 3. _____					
Are there more than three accounts? <input type="checkbox"/> No <input type="checkbox"/> Yes (please list on a separate page as an attachment)					
Do you control, either alone or with your spouse, 35% or more of the voting rights of another margin client of WLSL?					
<input type="checkbox"/> No <input type="checkbox"/> Yes, Account Name _____ Name of Account Holder _____ Account Number: _____					
PART 7. INDIVIDUAL TAX RESIDENCY SELF-CERTIFICATION					
Please complete the following table indicating: (a) the jurisdiction of residence (including Hong Kong) where the account holder is a <b>resident for tax purposes</b> and (b) the account holder's Taxpayer Identification Number or its Functional Equivalent (TIN) for each jurisdiction indicated. Indicate all (not restricted to two) jurisdictions of residence. If the controlling person is a tax resident of Hong Kong, the TIN is the Hong Kong Identity Card number. If a TIN is unavailable, provide the appropriate reason A, B or C:					
Account Holder's Tax Residence					
Jurisdiction of Residence	TIN	Enter Reason A, B or C if no TIN is available	Provide reasons A, B, or C:		
1.		<input type="checkbox"/>	Reason A: The jurisdiction where the controlling person is a resident for tax purposes does not issue TINs to its residents.		
2.		<input type="checkbox"/>	Reason B: The controlling person is unable to obtain a TIN. Explain why the controlling person is unable to obtain a TIN if you have selected this reason.		
Explain why the controlling person is unable to obtain a TIN if you have selected Reason B:			Reason C: TIN is not required. Select this reason only if the authorities of the jurisdiction of residence do not require the TIN to be disclosed.		

PART 8. US FOREIGN ACCOUNT TAX COMPLIANCE ACT (FATCA)

Please tick "✓" either (1) or (2) or (3) and complete as appropriate:

- |                             |   |
|-----------------------------|---|
| 1. <input type="checkbox"/> | I confirm that I am a U.S. citizen and/or resident in the U.S. for tax purposes (Green Card Holder or resident under the substantial presence test).                                  |
| 2. <input type="checkbox"/> | I confirm that I was born in the U.S. (or U.S. territory) but am no longer a U.S. citizen as I have voluntarily surrendered my citizenship as evidenced by the Certificate of Loss of |
| 3. <input type="checkbox"/> | I confirm that I am not a U.S. citizen or resident in the U.S. for tax purposes.  |

**Important Notice:**

The account holder is advised to refer to the US IRS website (<http://www.irs.gov/>) for details in respect of FATCA.  
The account holder shall be fully responsible for the confirmation of his/her/its FATCA status and other information submitted hereunder.  
The account holder shall ensure the information given and statements made in this form are true, correct and complete, WLSL shall not be liable for any errors or loss that results from such information and statements.  
WLSL is unable to offer any tax or legal advice to the account holder. For any related question, the account holder is advised to consult his/her/its tax and legal advisors.  
The substantial presence test is generally met with respect to any calendar year if (a) the individual is present in the U.S. at least 31 days during such year and (b) the sum of the number of days in which such individual is present in the U.S. during such year, 1/3 of the number of such days during the first preceding year, and 1/6 of the number of such days during the second preceding year, equals or exceeds 183 days.

PART 9. CONSENT ON NORTHBOUND CHINA STOCK CONNECT ORDERS (INVESTOR IDENTIFICATION MODEL) AND  
THE PERSONAL INFORMATION COLLECTION STATEMENT **\*\*Not applicable to individuals holding Mainland China Identification Document\*\***

**Processing of Personal Data as part of the Stock Connect Northbound Trading**

I/We acknowledge and agree that in providing WLSL's Stock Connect Northbound Trading Services to me/us, WLSL will be required to:

- tag each of your orders submitted to the China Stock Connect ("CSC") with Broker-to-Client Assigned Number ("BCAN") that is unique to you (for you having single account)/ tag each of your orders submitted to the China Stock Connect ("CSC") with Broker-to-Client Assigned Number ("BCAN") that is unique to you or the BCAN that is assigned to your joint account with WLSL, as appropriate (for you having a joint account); and
- provide to The Stock Exchange of Hong Kong Limited (the "Exchange") your assigned BCAN and such identification information ("Client Identification Data" or "CID") relating to you as the Exchange may request from time to time under the Rules of the Exchange.

Without limitation to any notification WLSL have given you or consent WLSL have obtained from you in respect of the processing of your personal data in connection with your account and WLSL's services to you, you acknowledge and agree that WLSL may collect, store, use, disclose and transfer personal data relating to you as required as part of WLSL's Stock Connect Northbound Trading Service, including as follows:

- to disclose and transfer your BCAN and CID to the Exchange and the relevant SEHK Subsidiaries from time to time, including by indicating your BCAN when inputting a China Connect Order into the CSC, which will be further routed to the relevant China Connect Market Operator on a real-time basis;
- to allow each of the Exchange and the relevant SEHK Subsidiaries to: (i) collect, use and store your BCAN, CID and any consolidated, validated and mapped BCANs and CID information provided by the relevant China Connect Clearing House (in the case of storage, by any of them or via HKEX) for market surveillance and monitoring purposes and enforcement of the Rules of the Exchange; (ii) transfer such information to the relevant China Connect Market Operator (directly or through the relevant China Connect Clearing House) from time to time for the purposes set out in (c) and (d) below; and (iii) disclose such information to the relevant regulators and law enforcement agencies in Hong Kong so as to facilitate the performance of their statutory functions with respect to the Hong Kong financial markets;
- to allow the relevant China Connect Clearing House to: (i) collect, use and store your BCAN and CID to facilitate the consolidation and validation of BCANs and CID and the mapping of BCANs and CID with its investor identification database, and provide such consolidated, validated and mapped BCANs and CID information to the relevant China Connect Market Operator, the Exchange and the relevant SEHK Subsidiary; (ii) use your BCAN and CID for the performance of its regulatory functions of securities account management; and (iii) disclose such information to the Mainland regulatory authorities and law enforcement agencies having jurisdiction over it so as to facilitate the performance of their regulatory, surveillance and enforcement functions with respect to the Mainland financial markets; and
- to allow the relevant China Connect Market Operator to: (i) collect, use and store your BCAN and CID to facilitate their surveillance and monitoring of securities trading on the relevant China Connect Market through the use of the China Connect Service and enforcement of the rules of the relevant China Connect Market Operator; and (ii) disclose such information to the Mainland regulatory authorities and law enforcement agencies so as to facilitate the performance of their regulatory, surveillance and enforcement functions with respect to the Mainland financial markets.

By instructing WLSL in respect of any transaction relating to China Connect Securities, you acknowledge and agree that WLSL may use your personal data for the purposes of complying with the requirements of the Exchange and its rules as in force from time to time in connection with the Stock Connect Northbound Trading. You also acknowledge that despite any subsequent purported withdrawal of consent by you, your personal data may continue to be stored, used, disclosed, transferred and otherwise processed for the above purposes, whether before or after such purported withdrawal of consent.

**Consequences of failing to provide Personal Data or Consent**

Failure to provide WLSL with your personal data or consent as described above may mean that WLSL will not, or no longer be able, as the case may be, to carry out your trading instructions or provide you with WLSL's Stock Connect Northbound Trading Service.

By ticking "✓" the box below, I/We acknowledge that I/We have read and understood the content of the above Personal Information Collection Statement and I/We signify my/our consent for WLSL to use my/our personal data on the terms of and for the purposes set out in the Personal Information Collection Statement above.

I/We acknowledge I/We have read and understand the content of the above Personal Information Collection Statement of WLSL. By ticking the box on the left, I/We signify my/our consent for WLSL to use my/our personal data on the terms of and for the purposes set out in the Personal Information Collection Statement.

PART 10. CONSENT ON PERSONAL INFORMATION COLLECTION STATEMENT UNDER THE HONG KONG INVESTOR IDENTIFICATION REGIME (HKIDR)  
AND OVER-THE-COUNTER SECURITIES TRANSACTIONS REPORTING REGIME (OTCR)

**Processing of Personal Data as part of the Hong Kong Securities Trading**

I/We acknowledge and agree that WLSL may collect, store, process, use, disclose and transfer personal data relating to me/us (including my/our CID and BCAN(s)) as required for WLSL to provide services to me/us in relation to securities listed or traded on the Stock Exchange of Hong Kong (SEHK) and for complying with the rules and requirements of SEHK and the Securities and Futures Commission (SFC) in effect from time to time. Without limiting the foregoing, this includes:

- disclosing and transferring my/our personal data (including CID and BCAN(s)) to SEHK and/or the SFC in accordance with the rules and requirements of SEHK and the SFC in effect from time to time;
- allowing SEHK to:
  - collect, store, process and use my/our personal data (including CID and BCAN(s)) for market surveillance and monitoring purposes and enforcement of the Rules of the Exchange of SEHK; and
  - disclose and transfer such information to the relevant regulators and law enforcement agencies in Hong Kong (including, but not limited to, the SFC) so as to facilitate the performance of their statutory functions with respect to the Hong Kong financial markets; and
  - use such information for conducting analysis for the purposes of market oversight; and
- allowing the SFC to:
  - collect, store, process and use my/our personal data (including CID and BCAN(s)) for the performance of its statutory functions including monitoring, surveillance and enforcement functions with respect to the Hong Kong financial markets; and
  - disclose and transfer such information to relevant regulators and law enforcement agencies in Hong Kong in accordance with applicable laws or regulatory requirements.
- providing BCAN to Hong Kong Securities Clearing Company Limited (HKSCC) allowing HKSCC to:
  - retrieve from SEHK (which is allowed to disclose and transfer to HKSCC), process and store my/our CID and transfer my/our CID to the issuer's share registrar to enable HKSCC and/ or the issuer's share registrar to verify that I/We have not made any duplicate applications for the relevant share subscription and to facilitate IPO balloting and IPO settlement; and
  - process and store my/our CID and transfer my/our CID to the issuer, the issuer's share registrar, the SFC, SEHK and any other party involved in the IPO for the purposes of processing my/our application for the relevant share subscription or any other purpose set out in the IPO issuer's prospectus.

I/We also agree that despite any subsequent purported withdrawal of consent by me/us, my/our personal data may continue to be stored, processed, used, disclosed or transferred for the above purposes after such purported withdrawal of consent.

[NOTE] The terms "BCAN" and "CID" used in this clause shall bear the meanings as defined in paragraph 5.6 of the Code of Conduct for Persons Licensed by or Registered with the Securities and Futures Commission.

**Consequences of failing to provide Personal Data or Consent**

Failure to provide WLSL with my/our personal data or consent as described above may mean that WLSL will not, or will no longer be able to, as the case may be, carry out my/our trading instructions or provide me/us with securities related services (other than to sell, transfer out or withdraw my/our existing holdings of securities, if any).

By ticking "✓" the box below, I/We acknowledge that I/We have read and understood the content of the above Personal Information Collection Statement and I/We signify my/our consent for WLSL to use my/our personal data on the terms of and for the purposes set out in the Personal Information Collection Statement above.

I/We acknowledge I/We have read and understand the content of the above Personal Information Collection Statement of WLSL. By ticking the box on the left, I/We signify my/our consent for WLSL to use my/our personal data on the terms of and for the purposes set out in the Personal Information Collection Statement.

PART 11. CLIENT DECLARATION AND SIGNATURE

I/We, the undersigned Client(s), hereby confirm that:

- The information contained in this Account Opening Form is true and accurate. I/We hereby declared that I/We am/are the ultimate beneficial owner(s) of this account and Well Link Securities Limited ("WLSL") is entitled to rely fully on such information and representations for applicable purposes (including any change hereof in writing as received by WLSL. WLSL is authorized at any time to contact anyone, including my/our banks, brokers or any credit agency, for the purpose of verifying the information provided on this Account Opening Form.
- I/We acknowledge and agree that (i) the information contained in this form is collected and may be kept by WLSL for the purpose of automatic exchange of financial account information, and (ii) such information and information regarding the controlling person and any reportable account(s) may be reported by the financial institution to the Inland Revenue Department of the Government of the Hong Kong Special Administrative Region and exchanged with tax authorities of another jurisdiction or jurisdictions in which the controlling person may be resident for tax purposes pursuant to the legal provisions for exchange of financial account information provided under the Inland Revenue Ordinance (Cap.112).
- I/We undertake to advise WLSL of any change in circumstances which affects the tax residency status of the individual identified in PART 1 of this form or causes the information contained herein to become incorrect, and to provide WLSL with a suitably updated self-certification form within 30 days of such change in circumstances. Otherwise, I/We agree that such tax declaration form will be deemed renewed.
- I/We certify that I/We am/are the controlling person(s). I/We am/are authorized to sign for the controlling person(s) of all the account(s) held by the entity account holder(s) to which this form relates.
- I/We hereby waive the right to the interest generated from cash deposited into the account once the account is opened.
- I/We confirm that I/We have been informed that WLSL intends to use my personal information for direct sales purposes in accordance with the definition in Schedule V: Personal Data Privacy Statement of the Securities Account Agreement. I/We understand that I/We may notify WLSL at any time via the channels set out in paragraph 5.3 of that Personal Data Privacy Statement to exercise my right to opt out and WLSL will cease to use my personal information for direct promotions as required without charging any fees.
- I/We the undersigned Client(s), confirm that prior to usage of any of WLSL's service(s), have read, understood and agree to be bound by all the relevant terms and conditions stated in the provisions of the current version of the relevant Client Agreement of WLSL including Securities Account Agreement and/or Futures Account Agreement (collectively referred to as the "Client Agreement") and acknowledge receipt of a copy and understand that I/We can download the relevant Client Agreement from WLSL's website. I/We further acknowledge that this document forms part of the Client Agreement. I/We hereby apply to open the account(s) that I prefer which indicated a tick in the box(s) in PART 2 "Account Type" section of this Account Opening Form and agree to be bound by the Client Agreement including its General Terms and Conditions and all relevant Schedule(s) as the same may be amended from time to time.

When I/We (the Client(s)) sign in the following signature column, it means that I/We have confirmed that the contents of Parts 1 to 11 of this document are correct and complete, and I have fully read, confirmed, agreed, accepted, understood, and willing to comply with all the terms and conditions above. Besides, I/We have been provided with a Risk Disclosure Statement in the language of my choice (in Chinese/English) attached to this form, and I/We have been invited to ask questions and seek independent legal advice (if I/We so wish).

Client Signature	A/C No
Client Name	Date: (day) (month) (year)

CERTIFIER DECLARATION

I am a designated witness as defined in the Code of Conduct for Licensed or Registered Persons (paragraph 5.1) of the Securities and Futures Commission (SFC), and my occupation type is (please tick "✓"):

- Bank Manager/A. Manager    
 Branch Manager/A. Manager of Bank    
 District Manager/Director of Bank    
 Practicing Lawyer    
 Practicing Accountant/CFA  
 SFC Licensed Persons    
 Justice of the Peace    
 Notary Public    
 Practicing Chartered Secretary

I have witnessed the Client(s) named above signing this form. I have examined the originals of all the supporting documents and the copy documents attached are true and correct copies of the original documents examined AND INITIALED by me.

Signed by Witness:	Information of Certifier	
	Full Name:	Registration No. of Profession:
	Name of Principal/Organisation:	Office Phone No.:
	Office Address:	
Date: (day) (month) (year)		

RISK DISCLOSURE STATEMENT

- The above-mentioned Client(s) has electronically confirmed that they have listened to and reviewed all the contents of the Risk Disclosure Statement attached to the Securities Account Agreement.

( Time recorded by the system: : / Date: / IP: )

- The licensed staff has explained the Risk Disclosure Statement attached to the Securities Account Agreement to the Client(s) in person at the head office / (other Location: ) or via recorded telephone (phone extension: / time : / date ) in a language that the Client(s) understands (in Chinese/English) and has invited and advised the Client(s) to carefully read and raise questions and seek independent legal advice on its content and details, if the Client(s) wishes to do so.

Signed by Licensed Representative:	Full name of Licensed Representative:
Date: (day) (month) (year)	CE No.: